



FSCA COMMUNICATION 34 OF 2024 (FAIS)

Access to recordings of webinars for Financial Services Providers

1. PURPOSE

The purpose of this Communication is to inform registered Financial Services Providers (FSPs) that recordings of recent webinars hosted by the Financial Sector Conduct Authority (FSCA) are available on the FSCA's YouTube channel and to provide additional detail in this regard.

2. BACKGROUND

- 2.1. The FSCA recorded three new webinars for FSPs during September 2024.
- 2.2. Recordings of all three webinars are now available for viewing on the FSCA's YouTube channel at the links provided below.

3. DETAILS OF THE WEBINARS

3.1. WEBINAR 1

Topic: Financial Soundness

Contents:

- Problematic issues identified during analysis of Annual Financial Statements
- Requirements under the following:
 - Financial Advisory and Intermediary Services Act, No. 37 of 2002 (FAIS Act)
 - Board Notice 194 of 2017 (Determination of Fit and Proper Requirements for FSPs)
 - FAIS Notice 82 of 2015 (Exemption of Eligible FSPs and Eligible FSPs limited by product from Requirements pertaining to Audited Financial Statements)

Links: Due to length, the recording has been published in two parts. Click [here](#) for part 1 and [here](#) for part 2 to access the recordings.

3.2. WEBINAR 2:

Topic: Bulk transfers

Contents:

- FAIS Act
- General Code of Conduct for Authorised FSPs and Representatives, 2003
- Long-term Insurance Act, No. 52 of 1998
- Short-term Insurance Act, No. 53 of 1998
- Protection Of Personal Information Act, No. 4 of 2013 (POPIA)

Links: Click [here](#) to access the recording.

3.3 WEBINAR 3:

Topic: Juristic Representatives

Contents:

- FAIS Act
- Guidance Note on the Interpretation and Application of section 13(1)(c) of the FAIS Act

Links: Click [here](#) to access the recording.

4. ENQUIRIES

4.1. For more information about this Communication and the above webinars, please contact:

- Ms Mpho Mashashane-Masango: Mpho.Mashashane-masango@fsca.co.za
- Ms Dimakatso Zililo : Dimakatso.Zililo@fsca.co.za
- Mr Sidwell Francis : Sidwell.Francis@fsca.co.za

4.2 For any problems accessing the recordings, please contact Mr Akashen Rampersadh at Akashen.Rampersadh@fsca.co.za

4.3 This Communication is available on the FSCA's website (www.fsca.co.za) at the following path:
Home > Regulatory Frameworks > Industry Communication > FAIS > FSCA Communication.



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