

FINANCIAL SERVICES BOARD

Rigel Park 446 Rigel Avenue South Erasmusrand Pretoria 0181 South Africa

PO Box 35655 Menlo Park Pretoria 0102 South Africa

Tel +27 12 428 8000 Fax +27 12 347 0221 E-mail info@fsb.co.za

Toll free 0800 110443 Internet <http://www.fsb.co.za>



ENQUIRIES: Loraine de Swardt

OUR REF: 12/12/1

DATE: 12 May 2009

D. DIALING NO.: 012 428 8020

FAX: 012 347 0874

E-MAIL: lorained@fsb.co.za

INFO CIRCULAR NO 3 OF 2009

PENSION FUNDS ACT, 1956 ("the Act")

REQUEST FOR STATISTICAL INFORMATION ON REGISTERED FUNDS AND PARTICIPATING EMPLOYERS OF UMBRELLA FUND

The Financial Services Board ("FSB") under section 15A of the Financial Services Board Act, 1990, impose levies as set out in the schedule on financial institutions as published annually in the Government Gazette.

In order to assist the Registrar of Pension Funds to invoice accurate levies, you are hereby requested to scrutinise the attached Excel spreadsheets containing funds and participating employers of umbrella funds which is according to the FSB system under your administration as at 12 May 2009.

Administrators are hereby requested to verify and update the **relevant fund information** on the Fund spreadsheet with, amongst others, the following information:

Administrator (new) – if you are not the current administrator of the fund, kindly give the details of the new administrator

Effective date – kindly indicate the date of the transfer of administration to the new administrator

Fund name (new) – if the fund name differs from the name on the spreadsheet, kindly give the new name duly registered in terms of section 12 of the Act

Umbrella type – if the umbrella fund type differs from the spreadsheet, kindly give the correct type registered in terms of the Act

Sub-status – if the sub-status is incorrect on the spreadsheet, kindly indicate the sub-status and furnish a reason why the sub-status is incorrect in the additional comments column

Query reason – kindly give the reason if the fund is still active but in a process to be deregistered

Last financial statements submitted - if the funds' last financial statements submitted and validated differs from the date on the spreadsheet, kindly indicate the latest year submitted and validated

Additional comments – any further comments

Kindly add any funds under your administration which are not on the list after the last entry on the spreadsheet.

Administrators are also requested to verify the **relevant participating employer information** and update the relevant information on the Participating employer spreadsheet with, amongst others, the following information:

Comments - If the participating employer has ceased to exist, indicate the cancellation date and reason for cancellation (Full transfer (section 14), liquidated (section 28(15) or last member withdraw from the employer (section 27(1)) or if the participating employer name differs from the name on the spreadsheet, kindly give the new participating employer name.

Kindly add any active participating employers and the date of participation which are not on the list after the last entry of the particular umbrella fund on the spreadsheet.

You are requested not to use “ ; \ * ” in any of the fields as the export program uses these characters as delimiters or CHANGE ANYTHING ON THE FORMAT OR FSB DATA CONTAINED IN THIS STANDARD SPREADSHEET.

Kindly submit this information electronically to Loraine de Swardt on lorained@fsb.co.za in the prescribed format, not later than **11 June 2009**.

Any queries may be directed to:

Merinda Stone (Underwritten Funds)
(012) 428 8182

Priscilla Ndlovu (Private Funds)
(012) 428 8092

Yours faithfully



L de Swardt
For: Registrar of Pension Funds