

FINANCIAL SERVICES BOARD

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ENQUIRIES: L de Swardt

OUR REF: 12/12/1

DATE: 19 May 2008

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(To all approved administrators, privately administered funds and insurers who underwrite pension funds)

INFORMATION CIRCULAR 5 OF 2008

REQUEST FOR STATISTICAL INFORMATION ON PARTICIPATING EMPLOYERS PARTICIPATING IN UMBRELLA FUNDS

The Financial Services Board (FSB) under section 15A of the Financial Services Board Act, 1990, imposes levies set out in the schedule on financial institutions as published annually in the Government Gazette.

A pension fund registered as an umbrella fund is required to pay a levy in respect of each registered participating employer participating in the fund. In order to assist the Registrar of Pension Funds to invoice accurate levies on participating employers, the following information regarding participating employers in umbrella funds as at 30 April 2008 will be forwarded to the relevant administrators:

Name and number of administrator
Name and registration number of the Umbrella Fund
Umbrella fund type
Fund type
Registered number of participating employer ^{Note 1}
Name of participating employer
Date of participation/ effective date
Sub status: Process of liquidation, or not
Date of approval of liquidator
Date of cancellation
Cancellation Reason

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Note 1: The registration number allocated to a participating employer as recorded on the FSB database should be used for a particular participant.

Board Members: Dr CDR Rustumjee (*Chairperson*) Mr AM Sithole (*Deputy Chairperson*) Mr BM Hawksworth Ms JV Mogadime Ms LM Mojek
Ms AMM Mokgabudi Prof PJ Sutherland Ms HS Wilton **Board Secretary:** SB Makgalemele **Executive Officer:** RJG Barrow



Administrators are requested to verify the information and update the relevant information on the spreadsheet with, amongst others, the following information:

- 1 Add any active participating employers and the date of participation which are not on the list; and
- 2 If the participating employer ceased to exist, indicate the cancellation date and reason for cancellation (Full transfer (section 14), liquidated (section 28(15) or last member withdraw from the employer (section 27(1)) in the columns provided.

You are hereby requested to submit this information electronically to Loraine de Swardt on lorained@fsb.co.za in the prescribed format no later than **18 June 2008**.

A process is currently being developed whereby umbrella funds (type B) will be able to update the details of participating employers on an ongoing basis.

Yours faithfully



L DE SWARDT
For: Registrar of Pension Funds